

## **Amended Individual Nomination Form**

**Please review the following information before continuing.**

### **Eligibility:**

Applicants must be employed by a private sector financial services firm. Please note, only applicants who work in the U.S. or Canada, OR whose FIRMS are based in the U.S. or Canada, will be considered for individual recognition. Women whose primary employment is with trade associations, regulatory agencies, or law, accounting, or other professional services firms are not eligible for this program.

Candidates for the 25 Most Powerful Women in Banking and the 25 Women to Watch must work for an organization whose activities are primarily focused on commercial or retail banking — including banks, thrifts and bank-owned subsidiaries, divisions, or groups. Eligibility for the 25 Most Powerful Women in Banking requires that the applicant be in her current position with her current employer for at least ONE FULL YEAR as of May 31st, 2018. Applicants with less than a year of tenure in their current roles will be considered for our list of the 25 Women to Watch.

Candidates for the 25 Most Powerful Women in Finance must be employed by financial services firms or divisions of financial services firms involved in nonbank finance, i.e. asset managers, card networks, hedge funds, investment banks, and private equity. In select cases, companies that also own banks may fit into this category.

### **Judging criteria:**

Applications are evaluated by a selection committee made up of American Banker staff members.

For individual recognition, candidates are evaluated primarily on:

- The size and scope of her job
- Innovation brought to her role/responsibilities
- Initiative
- Performance over the past year
- Influence within her institution (as a leader or profit contributor, for example)
- Influence outside her institution (with community groups, nonprofits, trade groups, industry task forces, and as part of government or regulatory initiatives)

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### Important instructions:

Please note that we are not accepting printed nomination forms, though PDFs are provided for your convenience. Only submissions collected through the online system will be considered.

Partially completed forms may be saved in the system until ready to be submitted. If you choose to save and exit, you will be asked to supply a password, then you will be given a link. You must retain the password and link, or you will not be able to return to the partially completed application again. We do not have access to your password so cannot help if it is misplaced.

You will be given the option to have an email sent to you with the link. The email you receive in this case will come from noreply@formstack.com. You will still need to remember your password, however.

Every time you save the application to return later, a new link will be generated. If you accidentally use an old link, you will not return to your most updated application, but to a previous version instead.

Please do not hit the "submit" button at the end until the application is fully completed. Once you hit submit, you will not be able to access your application again.

### Deadline:

Individual applications must be submitted by Monday, May 14, at 11:59 p.m. EST to be considered.

## Amended Individual Nomination Form

### About the nominee:

(All contact information is for internal use only and will not be published. Fields marked with an \* are required.)

\* Name: \_\_\_\_\_

\* Title: \_\_\_\_\_

\* Company: \_\_\_\_\_

\* Mailing Address: \_\_\_\_\_

\* Email Address: \_\_\_\_\_

\* Telephone Number: \_\_\_\_\_

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**Please also provide contact information for an alternate contact (executive assistant, media relations representative, human resources official, diversity coordinator, etc.) to whom questions about this application can be asked, or to whom information about our program can be sent.**

\* Name: \_\_\_\_\_

\* Title: \_\_\_\_\_

\* Company: \_\_\_\_\_

\* Mailing Address: \_\_\_\_\_

\* Email Address: \_\_\_\_\_

\* Telephone Number: \_\_\_\_\_

**Please provide the following background information about the nominee. A response is required for all questions and may be used for publication.**

\* In current role since (month and year): \_\_\_\_\_

\* Number of years in the banking of finance industry: \_\_\_\_\_

\* To whom does the candidate report (name, title)? \_\_\_\_\_

\* Total Number of employees (direct and indirect reports) that the candidate leads: \_\_\_\_\_

\* Number of direct reports: \_\_\_\_\_

\* Previous roles at this firm or other firms (please list):

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**Please provide a brief description of the candidate's role and responsibilities.**

(max. 1,500 characters, including spaces)

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**Please list or describe the candidate's involvement in charitable or community initiatives.**

(max. 1,500 characters, including spaces)

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**Please list or describe the candidate's involvement in industry or professional associations.**

(max. 1,500 characters, including spaces)

**Please list or describe the candidate's involvement in internal resource programs such as employee networking groups, mentoring activities, etc.**

(max. 1,500 characters, including spaces)

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**Please supply the following information for the nominated team's institution for the full years ending 12/31/2016 and 12/31/2017.**

2016 Asset Size (millions of \$) _____	2017 Asset Size (millions of \$) _____
2016 Return on Equity (%) _____	2017 Return on Equity (%) _____
2016 Return on Assets (%) _____	2017 Return on Assets (%) _____
2016 Net Interest Margin (%) _____	2017 Net Interest Margin (%) _____
2016 EPS (\$) _____	2017 EPS (\$) _____

**Check here to authorize American Banker to publish the above information.**

- Public information (no authorization necessary)
- Not public information, I give *American Banker* permission to publish
- Not public information, I do NOT give *American Banker* permission to publish

**Please add any additional related notes here:**

**INDIVIDUAL CONTRIBUTIONS:**

Please summarize the candidate’s contributions to her institution’s performance since the start of 2017. Quantify your answer where possible with performance metrics for the candidate’s business line or functional area, and highlight her influence on specific aspects of performance (for example, market share, brand equity, risk management, or corporate governance, etc.) that are directly relevant to the candidate’s role and responsibilities.

If the candidate holds a senior management position in a regional unit or division, please include the revenue and net income for 2017 from her regional unit or division and the percent change from 2016.

Note: If including information here that may not be published, please NOTE THIS within the narrative provided. For example: Mary Smith is responsible for boosting asset growth in her division by 21% in 2017. (Exact figure may not be published; double-digit percentage is OK to publish.)

(max. 2,000 characters, including spaces)

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**Please cite one or more examples of the candidate’s ability to innovate, and describe the related results.**

(max. 2,000 characters, including spaces)

**Please answer at least one of the following questions.**

Maximum length for each answer is 2,000 characters, including spaces.

(Note: Responses may be published in full or in part, as part of *American Banker Magazine's* coverage of the Most Powerful Women in Banking and Finance.)

Please describe a significant management decision made in the past year by the candidate and explain the impact it has had.

Please outline a policy or idea instituted by the candidate that has been adopted as a best practice at her institution.

Please tell us about a recent leadership challenge faced by the candidate and the approach she took to resolve it.

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**Please answer at least one of the following questions.**

Maximum length for each answer is 2,000 characters, including spaces.

(Note: Responses may be published in full or in part, as part of *American Banker Magazine's* coverage of the Most Powerful Women in Banking and Finance.)

Tell us about a pivotal mentor or sponsor you have now or have had in the past. Why do you consider this person to be pivotal? Please note your relationship to that person, including whether they are at the same company or in the same industry. Feel free to name the person if you want.

To what behavior, personality trait or practice do you assign the most credit for your success? Please offer a specific example of where this made a difference.

Please share one goal you set for 2018, professional or personal. Why did you set that particular goal?

**The following questions have no bearing on the judging and only come into play for planning coverage of the Most Powerful Women in the magazine.**

Our photo shoot is expected to be Monday, Aug. 6, in New York City. This event starts early and takes nearly all day – but is amazing fun with experts to do hair, make-up and wardrobe and with the unique opportunity to personally connect with others on the list. Would you be available for this event if asked to attend?

Yes  No  Maybe

One theme being discussed for this photo shoot ties in with mentoring the upcoming generation of diverse female executives. Would you have a millennial woman who you mentor – someone who works at your company or at another banking/financial services firm – who you would be willing to have participate in the photo shoot with you?

Yes  No

Please tell us about this person (including her name, title and the name of her company) and why you would choose her. An illustrative anecdote about why she is special would be helpful, if you have one. Maximum length for the answer is 1,000 characters, including spaces.

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**Please provide a NEW high-resolution photo of the nominee that can be published online and in print. It should be a different photo than the one already published as part of the 2017 ranking. Please consider submitting an “environmental” type of photo in addition to a typical headshot. “Enviros” show more of the person than just the head and shoulders and generally, though not always, include some background.**

(supported formats include .jpg or .tif; 300 dpi recommended)

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**Finally – and this is strictly optional – please upload letter(s) of recommendation, a corporate bio, or other relevant attachments you wish to submit as part of this nomination. (Only .doc or .pdf formats are allowed.) Please limit the number of attachments to no more than five.**

NOTE: Please upload a single .zip file with all submitted photo(s) and supporting material(s), rather than many separate files.